



# Isis Angels Network Application Form

Isis Angels Network  
Ewert House, Ewert Place, Summertown, Oxford OX2 7SG  
T +44 (0)1865 280830 F +44 (0)1865 280831 E [angels@isis.ox.ac.uk](mailto:angels@isis.ox.ac.uk) W [www.isis-innovation.com](http://www.isis-innovation.com)

## **Declaration**

I apply for membership of the Isis Angels Network (IAN) for 12 months from today's date. I undertake not to use for my own benefit or to disclose to anyone else any information which is supplied to me through the Network unless it first gives its consent in writing. I recognise that information supplied through the Network is confidential, and that failure to maintain confidentiality in the information may prejudice patent protection and/or lead to substantial financial loss.

## **Note**

If you are an institutional investor (eg venture capital firm), please complete Part A and return to IAN.  
If you are an individual investor, please complete Part B and return to IAN with the appropriate certificate.

### **Part A – Institutional Investors**

#### **I am an "investment professional"**

I make this statement so that I, on behalf of \_\_\_\_\_ (please insert company name), am able to receive promotions which are exempt from the restrictions on financial promotion in the Financial Services and Markets Act 2000. I accept, on behalf of the Company named in this application, that the contents of promotions and other material I receive may not have been approved by an authorised person and thus their content may not be subject to the controls which would apply if the promotion was made or approved by an authorised person. I am aware that it is open for me to seek advice from such an authorised person.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

### **Part B – Individual Investors**

*(Note: You must provide an appropriate certificate if applying for IAN membership as an individual investor. Please include a copy of your certificate when returning this form to Isis.)*

#### **I am a certified "high net worth individual"**

I make this statement so that I am able to receive promotions which are exempt from the restriction on financial promotion in section 21 of the Financial Services and Markets Act 2000. The exemption relates to certified high net worth individuals and I declare that I qualify as such. I accept that the content of promotions and other material I receive may not have been approved by a person who has been authorised under that Act and that their content may not therefore be subject to controls which would apply if the promotion were made or approved by an authorised person. I am aware that it is open to me to seek advice from someone who is authorised under the Act and who specialise in advising on this kind of investment.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

#### **I am a certified "sophisticated investor"**

I make this statement so that I am able to receive promotions which are exempt from the restrictions on financial promotion in the Financial Services and Markets Act 2000. The exemption relates to certified sophisticated investors and I declare that I qualify as such in relation to cash investments in return for shares, options and warrants in high risk early-stage technology, research and development businesses and all other types of businesses which may or may not carry high degrees of risk. Such businesses may be Limited Companies registered in the United Kingdom or elsewhere overseas and Limited Liability Partnerships. I accept that the contents of promotions and other material that I receive may not have been approved by an authorised person and that their content may not therefore be subject to controls which would apply if the promotion were made or approved by an authorised person. I am aware that it is open to me to seek advice from someone who specialises in advising on this kind of investment.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_