



**SECTION 2: WHAT IS YOUR EMPLOYMENT HISTORY?**

**5. Please provide details of the history of the position(s) you have held at the University during the inventive period of question 4 above.**

**Notes:**

- a. Bear in mind your status/position may have changed during the course of the inventive period. If it has, please give all details.
- b. Position you held: e.g. University employee, College employee, retired employee, undergraduate student, DPhil student.
- c. Were you full time or part time (e.g. because you are also an NHS employee).
- d. If you were a visitor, please also state where you were visiting from.
- e. Please see question 6 below if at any time during the inventive period you were a student at or employed by an institution or organisation other than Oxford, or were self-employed or consultant or other.
- f. The Statutes and Regulations of the University provide Departments with an entitlement to a share in higher revenues from commercialisation.

Position(s) you held at Oxford <sup>b,c,d</sup> :	Oxford Department and/or Unit <sup>f</sup> :	Period you held this position:	
		From:	To:

**6. Please provide details of positions you have held outside the University during the inventive period of question 4 above, if any.**

**Notes:**

- a. If you held a University position for the whole of the inventive period, put Not Applicable.
- b. Bear in mind your status/position may have changed during the course of the inventive period. If it has, please give all details.
- c. Position you held: e.g. employee, retired employee, undergraduate student, DPhil student, visitor, self-employed or other (please state).
- d. Please provide contact name, telephone number, email address of the employer, research services office or the technology transfer organisation. Use another sheet if necessary.

Position(s) <sup>b,c</sup>	Name of academic institution, company or organisation, and contact details <sup>d</sup>	Time period	
		From:	To:

**SECTION 3: HOW WAS THE WORK FUNDED AND WAS THERE ANY ADDITIONAL SUPPORT?**

**7. What sources of funding were drawn on in direct support of the research or any part of the research which led to the intellectual property during the inventive period in 4 above?**

**Notes:**

- a. The information you provide will help Research Services to find the contract/award to review its terms to establish ownership or other rights over the IP. It is helpful if you can supply copies of contracts.
- b. You should mention all forms of financial support directly relevant to the intellectual property e.g. EPSRC project grant, Wellcome Trust Programme Grant, GlaxoSmithKline CASE studentship, other industrial or commercial funders, US federal funders, University Challenge Seed Fund, EC grant, Link agreement, Research Council strategic alliance etc.
- c. Examples of types of financial support relevant to the intellectual property may be payment of salaries (eg your own, or that of your support staff, such as technicians), stipends, consumables, etc.
- d. The Principal Investigator (PI) is the academic who applied for the funding and is responsible under the funding contract for the work carried out. We need this information to help find the terms of the contract.
- e. The reference must be either the Research Services reference number or the Oracle account number: if you do not know either of these, then please obtain them from your Research Services contact or from your department administrator.
- f. If you have listed multiple sources of funding, please assess the relative % that each funding source made to the intellectual property.

Name of funding body/company	Name of the Principal Investigator <sup>d</sup>	Contract period/ Title of research set out in the contract	Reference No. <sup>e</sup>	If applicable, name(s) of person(s) whom this funding supported	% funding contribution <sup>f</sup>

**8. Did the research relevant to the creation of the intellectual property above benefit directly from any non-monetary or other in-kind support, such as the provision of equipment or the supply of materials by other parties?**

- Yes  No

If YES, please provide a copy of each contract if you have them, or full details. This is to help us to locate copies of contracts if you are unable to provide a copy. Please continue on a separate sheet if necessary.

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**9. Are you aware of any other legal or contractual obligations directly relevant to the intellectual property, such as other research or service contracts, collaboration agreements or personal consultancies not cited above, whether past, present or currently under negotiation?**

- Yes  No

If YES, please provide a copy of each contract if you have them, or full details. This is to help us to locate copies of contracts if you are unable to provide a copy. Please continue on a separate sheet if necessary.

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**10. Please indicate below if any part of the invention was made using biological materials obtained from humans and if so please provide an example of the patient consent form.**

- Yes  No

#### SECTION 4: DECLARATION AND SIGNATURE

IMPORTANT NOTICE: THE INFORMATION WHICH YOU PROVIDE ON THIS FORM WILL BE USED BY THE UNIVERSITY AND ISIS INNOVATION LIMITED TO ASSESS THE OWNERSHIP OF INTELLECTUAL PROPERTY RIGHTS, POTENTIAL THIRD PARTY CLAIMS TO THOSE RIGHTS, AND OBLIGATIONS TO EXTERNAL SPONSORS. INCORRECT OR INCOMPLETE DETAILS COULD LEAD TO LITIGATION. THE REDUCTION OR LOSS OF EXPLOITATION REVENUES, OR THE INVALIDATION OF PATENT APPLICATIONS.

IN THE EVENT THAT ISIS IS UNABLE OR UNWILLING TO TAKE FORWARD THE COMMERCIALISATION OF THE INTELLECTUAL PROPERTY, SUBJECT TO THE RIGHTS OF THIRD PARTIES (INCLUDING ANY FUNDERS OF THE RESEARCH RELEVANT TO THE CREATION OF THE INTELLECTUAL PROPERTY), IT WILL BE OFFERED TO CONTRIBUTORS NAMED ON THE RELEVANT IP FORMS IN ACCORDANCE WITH THE STATUTES AND REGULATIONS OF THE UNIVERSITY OF OXFORD.

##### **Declaration**

I declare that the information which I have provided in this form is, to the best of my knowledge and belief, correct and complete.

**SIGNED** by the contributor named in 2 above:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**\* Note:** Contributors completing this form should have made a material contribution to the intellectual property in question. However, it will not necessarily be the case that all those who complete this form will be named as inventors for the purposes of legal documentation involved in the patent process. Inventorship is not the same as authorship of an academic paper. Under European patent law, for example, a person is only a legally defined inventor if he or she conceives the idea underlying the invention.